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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 197759US0CONT

First Inventor or Application Identifier Akihiro KISHISHITA

Title NOVEL ASPARTAME DERIVATIVE CRYSTAL AND PROCESS FOR PRODUCING THE SAME

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Sheets **15**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **2**
4. ☐ Oath or Declaration Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation / divisional w/ box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer
Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification or Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 (2 pp.) ☒ Copies of IDS Citations (10)
12. ☒ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.
See 37 CFR 1.27
16. ☒ Other: List of Inventor's Names and Addresses;
Request for Priority; Translation of Category
of Cited Documents in attached International
Search Report; International Search Report
(In Japanese)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior International Application No.: PCT/JP99/02199
Filed on: April 26, 1999

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of International Application number PCT/JP99/02199, filed on April 26, 1999.

☐ This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESS



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Name:	Norman F. Oblon	Registration No.:	24,618
Signature:	Malcolm J. MacDonald	Date:	11-8-00
Name:	Malcolm J. MacDonald, Ph.D.	Registration No.:	40,250

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS: Akihiro KISHISHITA, et al.

SERIAL NO.: NEW APPLICATION

FILING DATE: HEREWITH

FOR: NOVEL ASPARTAME DERIVATIVE CRYSTAL AND PROCESS FOR PRODUCING THE SAME

FEE TRANSMITTALASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	13 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	1 - 3 =	0	× \$80 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$840.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$840.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of _____ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$840.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

**22850**Date: 11-8-00

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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon
 Attorney of Record
 Registration No.: 24,618

Malcolm J. MacDonald, Ph.D.
 Registration No.: 40,250

DOCKET NO.: 197759US0CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: :

Akihiro KISHISHITA, et al. :

SERIAL NO.: NEW APPLICATION :

FILED: HERewith :

FOR: NOVEL ASPARTAME DERIVATIVE CRYSTAL AND PROCESS FOR PRODUCING
THE SAME

LIST OF INVENTORS NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

Akihiro KISHISHITA	c/o AJINOMOTO CO., INC., Central Research Laboratories 1-1, Suzuki-cho, Kawasaki-ku, Kasasaki-shi, Kanagawa, 210-0801 JAPAN
Kazutaka NAGASHIMA	c/o AJINOMOTO CO., INC., Central Research Laboratories 1-1, Suzuki-cho, Kawasaki-ku, Kasasaki-shi, Kanagawa, 210-0801 JAPAN
Hirotooshi ISHIDA	c/o AJINOMOTO CO., INC., Central Research Laboratories 1-1, Suzuki-cho, Kawasaki-ku, Kasasaki-shi, Kanagawa, 210-0801 JAPAN
Takeshi NAGAI	c/o AJINOMOTO CO., INC., Central Research Laboratories 1-1, Suzuki-cho, Kawasaki-ku, Kasasaki-shi, Kanagawa, 210-0801 JAPAN

A Declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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DOCKET NO.: 197759US0CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akihiro KISHISHITA, et al.

SERIAL NO.: NEW APPLICATION

FILED: HERewith

FOR: NOVEL ASPARTAME DERIVATIVE CRYSTAL AND PROCESS FOR
PRODUCING THE SAME



REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- ☒ Full benefit of the filing date of International PCT Application Number PCT/JP99/02199, filed April 26, 1999, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number _____, filed _____, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
JAPAN	10-125991	May/08/1998
JAPAN	10-207605	July/23/1998

The certified copies of the corresponding Convention Applications:

- ☐ is/are submitted herewith.
- ☒ will be submitted prior to payment of the Final Fee.
- ☐ was/were filed in prior U.S. Application Serial No. _____, filed _____.
- ☐ was/were submitted to the International Bureau in International PCT Application Number _____. Receipt of the certified copy (ies) by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.

☐ (A) U.S. Application Serial No.(s) _____ were filed in prior U.S. Application Serial No. _____, filed _____; and

(B) U.S. Application Serial No.(s) _____

☐ is/are submitted herewith

☐ will be submitted prior to payment of the Final Fee



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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in dark ink, appearing to read "Norman F. Oblon".

Norman F. Oblon
Attorney of Record
Registration No.: 24,618

Malcolm J. MacDonald, Ph.D.
Registration No.: 40,250